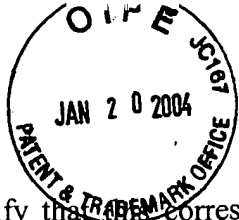


Image



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on January 14, 2004

Glenn P. Ladwig
Glenn P. Ladwig, Patent Attorney

INFORMATION DISCLOSURE
STATEMENT

Examining Group 1648
Patent Application
Docket No. EPI-T101D4
Serial No. 09/863,054

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner : Donna C. Wortman
Art Unit : 1648
Applicant : Francis V. Chisari
Serial No. : 09/863,054
Filed : May 21, 2001
Conf. No. : 8000
For : Peptides for Inducing Cytotoxic T Lymphocyte Responses to Hepatitis B Virus

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §§1.97 AND 1.98

Sir:

In accordance with 37 CFR §1.56, the references listed on the attached form PTO/SB/08 are being brought to the attention of the Examiner for consideration in connection with the examination of the above-identified patent application. Copies of the cited documents are enclosed.

Japanese Patent Publication No. 64-025800, which is cited on attached form PTO/SB/08, and submitted herewith, is in a foreign language. An English language abstract of Japanese Patent Publication No. 64-025800 is also submitted herewith.

This information is being submitted subsequent to the later of three months after the filing date of the present application or the mailing of the first Office Action on the merits, but before the

01/22/2004 BSAYASI1 00000085 190065 09863054

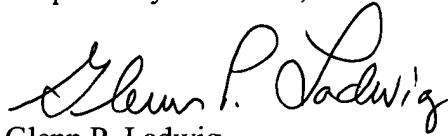
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mailing of a final action or the notice of allowance. Please charge the fee of \$180.00 to Deposit Account No. 19-0065.

The applicant respectfully asserts that the substantive provisions of 37 CFR §§1.97 and 1.98 are met by the foregoing statements.

Respectfully submitted,



Glenn P. Ladwig

Patent Attorney

Registration No. 46,853

Phone No.: 352-375-8100

Fax No.: 352-372-5800

Address: 2421 N.W. 41st Street, Suite A-1
Gainesville, FL 32606-6669

GPL/mv

Attachments: Form PTO/SB/08 (2 pages); copies of references cited therein



PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)			Complete if Known		
			Application Number	09/863,054	
			Filing Date	May 21, 2001	
			First Named Inventor	Francis V. Chisari	
			Art Unit	1648	
			Examiner Name	Donna C. Wortman	
Sheet	1	of	2	Attorney Docket Number	EPI-T101D4

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
	U1	US-5,143,726	09-01-1992	Thornton et al.	All
	U2	US-5,133,961	07-28-1992	Ellis et al.	All
	U3	US-4,659,569	04-21-1987	Mitsuhashi et al.	All
	U4	US-3,645,852	02-29-1972	Axen et al.	All
	U5	US-			
	U6	US-			
	U7	US-			
	U8	US-			
	U9	US-			

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)				
	F1	EP 271 302 A2	12-07-1987	Scripps Clinic and Res. Foundation	All	
	F2	EP 326 111 A2	01-25-1989	New York Blood Center	All	
	F3	EP 293 201 A1	11-30-1988	The Wistar Inst.	All	
	F4	JP 64-025800 (Japanese language)	01-27-1989	Scripps Clinic and Res. Foundation	All	
	F5	JP 64-025800 English abstract	01-27-1989	Scripps Clinic and Res. Foundation	All	
	F6					

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard T.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Complete if Known

Substitute for form 1449B/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet

2

of

2

Application Number

09/863,054

Filing Date

May 21, 2001

First Named Inventor

Francis V. Chisari

Group Art Unit

1648

Examiner Name

Donna C. Wortman

Attorney Docket Number

EPI-T101D4

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article, (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	R1	BERTOLETTI, A. <i>et al.</i> "Definition of a minimal optimal cytotoxic T-cell epitope within the hepatitis B virus nucleocapsid protein," <i>Virology</i> , 1993, 67(4):2376-2380.	
	R2		
	R3		
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	R5		
	R6		
	R7		
	R8		
	R9		
	R10		
	R11		
	R12		
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Examiner
Signature

Date

Considered

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¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

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